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PATENT  
Attorney Docket No.: SALK2190

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
O'Gorman et al.

Serial No.: 08/919,501

Filed: August 28, 1997

**For: SITE-SPECIFIC RECOMBINATION  
EUKARYOTES AND CONSTRUCTS  
USEFUL THEREFOR**

) Group Art Unit: 1815

) Examiner: Unsigned

CERTIFICATION UNDER 37 CFR § .8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 3/17, 1998, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Stephen E. Reiter  
(Name of person mailing paper)

Signature

**Box Missing Parts**  
**Assistant Commissioner of Patents**  
**Washington, D.C. 20231**

RECEIVED

MAR 16 1998

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts mailed January 8, 1998, enclosed are:

- 1. A copy of the Notice to File Missing Parts.
  - 2. An executed Combined Declaration and Power of Attorney in the above-identified U.S. Patent Application.
  - 3. A Recordation Form cover Sheet and an executed Assignment for the above-identified U.S. patent Application.
  - 4. A Verified Statement (Declaration) Claiming Small Entity Status.



Applicant(s): O'Gorman et al.

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Page 2

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The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	45 - 20	=	25	X	\$11	\$22	=	\$275.00	\$0.00
Independent Claims	4 - 3	=	1	X	\$40	\$80	=	\$40.00	\$0.00
Multiple Dependent Claims Presented:	Yes	No			\$120	\$240			
					BASIC FEE			\$395.00	\$0.00
					TOTAL FEE			\$71000	\$0.00

The Commissioner is hereby authorized to charge payment of the total fee of \$880.00; \$710.00 for the filing fee, \$130.00 the surcharge fee for missing parts of application, and \$40.00 assignment recordation fee or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16.  
 Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Date: 2/17/98

SE  
Stephen E. Reiter  
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GRAY CARY WARE & FREIDENRICH LLP  
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GT6060370.1  
62574-992190



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER <i>5717 &amp; TRADEMARK OFFICE</i>	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
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08/919,501 08/28/97 O'GORMAN

S SALK2190

0242/0108

STEPHEN E REITER  
GRAY CARY WARE & FREIDENRICH  
4365 EXECUTIVE DRIVE  
SUITE 1600  
SAN DIEGO CA 92121

NOT ASSIGNED

1815

DATE MAILED:

01/08/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a  large entity  small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a   
 large entity  small entity (verified statement filed), is \$ 1634.

1. The statutory basic filing fee is:

missing.  
 insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 164, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are:

missing.  
 by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

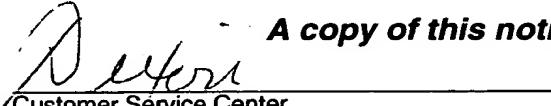
5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
 7. Your filing receipt was mailed in error because your check was returned without payment.  
 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."  
 9. OTHER: \_\_\_\_\_

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the response.***

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202